

# STANDARD OPERATING PROCEDURE (SOP)

Renewal of Factories under  
The Factories Act, 1948

**Department of Labour and Employment**

Government of J&K

### Name of Service

Renewal of Factories under The Factories Act, 1948.

### Name of Department

Department of Labour and Employment, GoJK.

### Policy/Government Order

The Factories Act, 1948.

### Documents Checklist

#	Document name	Type	Mandatory
1	Certificate of consent to establish/operate from J&K State Pollution Control Board	PDF	Yes
2	NOC/License from the concerned department/ authority in case of sawmills, peeling of wood logs factories, explosive factory, arms and ammunition factory, drug and pharmaceuticals factory, brick kilns and stone crushers.	Pdf	Yes
3	Authorization letter of manager from the occupier.	PDF	Yes
4	Copy of power sanction certificate from Power Development Department.	PDF	Yes
5	Copy of safety certificate in duplicate indicating the safety measures adopted in the factory.	PDF	Yes
6	Passport size photograph of the Manager or Occupier.	JPEG	Yes
7	ID proof of the applicant (Aadhaar Card/ Ration card/Driving License).	PDF/JPEG	Yes
8	Previous Registration Certificate	PDF	Yes
9	Any Other supporting documents, if any	PDF	No

### Fees

Schedule A							
Quantity of H.P installed	Minimum number of workers employed on any day during the year						
	20	50	100	250	500	750	1000
Nil	200	380	680	1580	3080	4580	6080
10	250	430	730	1630	3130	4630	6130

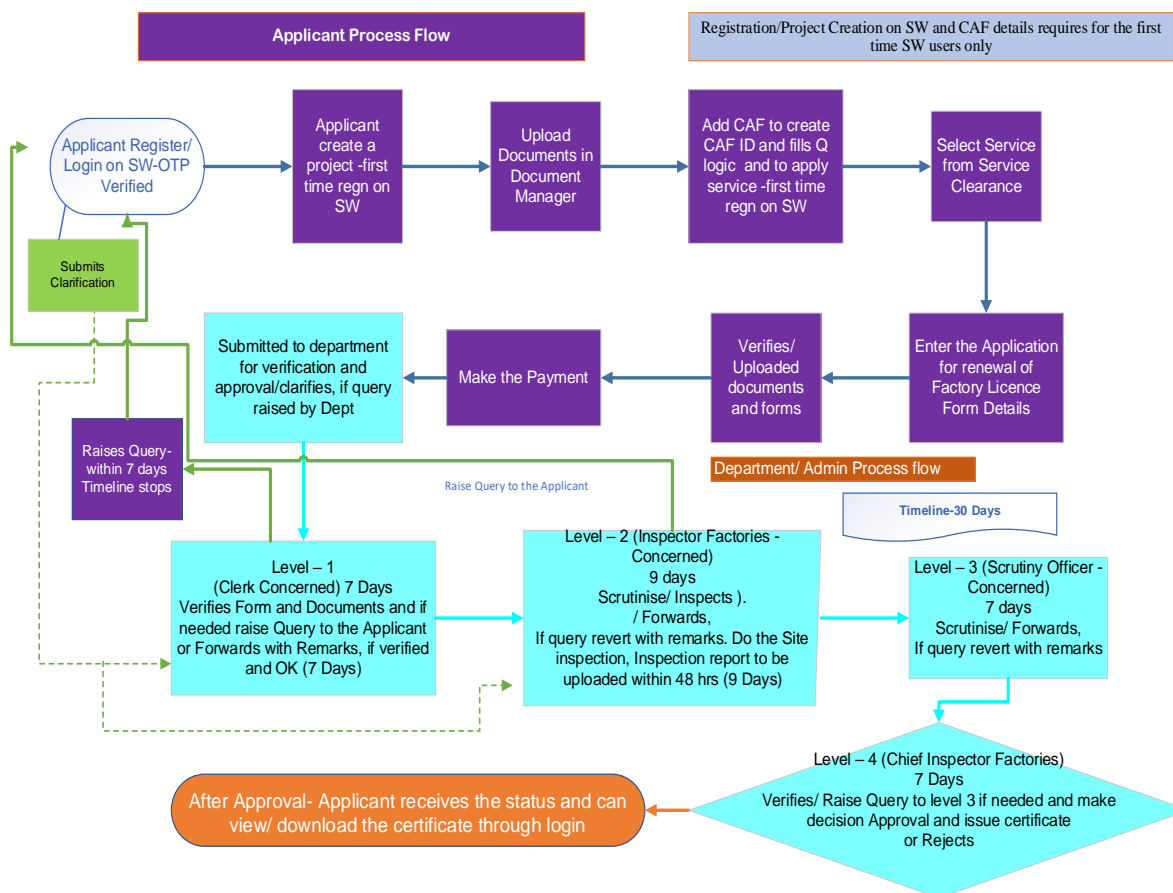
### Schedule A

Quantity of H.P installed	Minimum number of workers employed on any day during the year						
	20	50	100	250	500	750	1000
50	450	630	930	1830	3330	4830	6330
100	700	880	1180	2080	3580	5080	6580
250	1450	1630	1930	2830	4330	5830	7330
500	2700	2880	3180	4080	5580	7080	8580
1000	5200	5380	5680	6580	8080	9580	11080
1500	7700	7880	8180	9080	10580	12080	13580
2000 & Above	10200	10380	10680	11580	13080	14580	16080

### Schedule B (Electricity Generating, Transforming & Transmitting Factories)

#	Total Installed Capacity of the Generating Plant in KW	Fee Payable
1.	50 KW or Hrs	25
2.	Over 50 KW not over 100 KW	50
3.	Over 100 KW not over 150 KW	75
4.	Over 150 KW not over 300 KW	100
5.	Over 300 KW not over 700 KW	150
6.	Over 700 KW not over 1000 KW	225
7.	Over 1000 KW not over 5000 KW	450
8.	Over 5000 KW not over 10000 KW	750
9.	Over 10000 KW not over 50000 KW	1250
10.	Over 50000 KW not over 60000 KW	1500
11.	Over 60000 KW not over 80000 KW	1750
12.	Over 80000 KW not over 100000 KW	2000
13.	Over 100000 KW not over 150000 KW	2500
14.	Over 150000 KW not over 200000 KW	3000
15.	Over 200000 KW not over 300000 KW	3500
16.	All Over 300000 KW (Without Limit)	4000

## Process Flow: Renewal of Factories under The Factories Act, 1948



## Procedure for the application for Renewal of Factories under The Factories Act, 1948

- I. Applicant registers himself/herself on single window system <http://www.singlewindow.jk.gov.in>. If, already registered on the portal then login with the registered user id and password
- II. Applicants get the registration information on registered email id and registered mobile number.
- III. Your first step is to create a Project (a Project can be your new or existing Business/Industry).
- IV. Once a Project is created, you can fill the Common Application Form for the Project where all the common details required for the project are filled.
- V. Once CAF Id is created, from all the available Forms, click on the Form/Registration you want to apply for.
- VI. Before filling the forms, you will be given instructions about the documents required to submit along with the form. You need to upload these documents before filling the form.
- VII. Now you can apply for Application for Renewal of Factory licence.



- VIII. Make payment of necessary application processing fees online using your debit card/ credit card/ net banking facility
- IX. Filled application form will be submitted to Concerned Clerk
- X. After online receipt of application form for issuance of Factory licence with all the necessary documents mentioned above the Concerned Clerk shall scrutinize the application along with uploaded documents
- XI. In case of deficiency Clerk shall report the deficiency to the applicant within 7 working days.
- XII. If there is no deficiency the Clerk shall recommend the application to Inspector Factories having jurisdiction of the area, who is turn will conduct the Physical inspection of the Site and submit the inspection report within 9 working days to Scrutiny officer.
- XIII. Scrutiny officer (Clerk) will scrutinize the application and in case of deficiency Clerk shall report the deficiency to the inspector within 7 working days.
- XIV. If there is no deficiency the Clerk shall recommend the application to Chief Inspector Factories if satisfied shall issue or reject the same within 7 working days
- XV. The certificate can be downloaded from the applicant login.

#### Form Fields:

#	Section	Caption Name	Type of Field	Mandatory (Yes/No)
1.	Renew Factory License	Enter Old Existing Registration / Approval Certificate File*	Dropdown	Yes
2.		Previous Registration Number	Alpha/Numeric	Yes
3.		Annual Return Filled	Alpha/Numeric	Yes
4.	Factory Details	Full name of the factory	Alpha/numeric	Yes
5.		Previous Registration Number	Alpha/numeric	Yes
6.		Address	Alpha/numeric	Yes
7.		City	Alpha	Yes
8.		District	Alpha/Dropdown	Yes
9.		Circle*	Alpha/numeric	Yes
10.		Pincode: *	Numeric	Yes
11.	Location of the factory	Province	Alpha/numeric	Yes
12.		District	Alpha/Dropdown	Yes
13.		Town or Village	Alpha/numeric	Yes
14.		Nearest Railway Station	Alpha/numeric	Yes
15.		Nearest Steamer Ghat, if any	Alpha/numeric	Yes
16.	Postal address for	Address 1	Alpha/numeric	Yes
17.		Address 2	Alpha/numeric	Yes

18.	communication	City	Alpha	Yes
19.		District	Alpha/Dropdown	Yes
20.		Pincode	Numeric	Yes
21.	Activity	Nature of Activity	Dropdown	Yes
22.	Nature of manufacturing processes			
23.		Manufacturing process carried on in the factory in the last twelve months	Alpha/Numeric	Yes
24.		Manufacturing process to be carried on in the factory during the next twelve months	Alpha/Numeric	
25.		Names and value of principal products to be manufactured during the next 12 months	Upload Option	Yes
26.	Nature of Power Generation			
27.		Power generation process carried on in the factory in the last twelve months	Alpha/Numeric	Yes
28.		Power generation process to be carried on in the factory during the next twelve months	Alpha/Numeric	Yes
29.	Workers employed	Maximum number of workers proposed to be employed during the year	Numeric	Yes
30.		Maximum number of workers employed during the last twelve months on any day	Numeric	Yes
31.		Number of workers ordinarily employed in the Factory	Numeric	Yes
32.	Power installed			
33.		Total rated horsepower (installed or to be installed)	Alpha/Numeric/Upload Option	Yes
34.		Maximum amount of power (H.P.) Proposed to be used*	Alpha/numeric	Yes
35.	Power generated			
36.		Total Installed Capacity of the Generating Plant in KW	Alpha/numeric	Yes
37.		Full name of the person	Alpha	Yes
38.		Address	Alpha/Numeric	

39.	Particulars of the Factory Manager	City	Alpha	Yes
40.		District	Dropdown	Yes
41.		Pincode	Numeric	Yes
42.		Contact Number	Numeric	Yes
43.	Particulars of Occupier	Full Name	Alpha	Yes
44.		Residential Address	Alpha/Numeric	Yes
45.	Particulars of owner of the premises or building	Full name of the owner	Alpha	Yes
46.		Address	Alpha/Numeric	Yes
47.		City	Alpha	Yes
48.		District	Dropdown	Yes
49.		Pincode	Numeric	Yes
50.	Land & Building	In the case of a factory constructed or extended after the date of the commencement of the Rules	Select Unit	Yes
51.		Reference number of approval of the plans for site whether for old or new building and or construction of extension of factory by the State Government/Chief Inspector	Numeric/Alpha	Yes
52.		Date of approval of the plans for site whether for old or new building and or construction of extension of factory by the State Government/Chief Inspector	Calendar	Yes
53.		Reference number of approvals of the arrangement if any made for the disposal of trade waste and effluent (NOC from JKPCB)	Numeric/Alpha	Yes
54.		Date of approval of the arrangement if any made for the disposal of trade waste and effluent (NOC from JKPCB)	Calendar	Yes
55.		Name of the authority granting approval of the arrangement if any made for the disposal of trade waste and effluent (NOC from JKPCB)	Alpha	Yes
56.	Industry Category	Choose Category based on your Industry	Select Option	Yes

57.	Type of Industry	Type of Industry	Select Option	Yes
58.	Documents	Certificate of consent to establish/operate from J&K State Pollution Control Board	Upload Option	Yes
59.		NOC/License from the concerned department/authority in case of saw mills, peeling of wood logs factories, explosive factory, arms and ammunition factory, drug and pharmaceuticals factory, brick kilns and stone crushers*	Upload Option	Yes
60.		Authorization letter of manager from the occupier	Upload Option	Yes
61.		Copy of power sanction certificate from Power Development Department	Upload Option	Yes
62.		Copy of safety certificate in duplicate indicating the safety measures adopted in the factory	Upload Option	Yes
63.		Passport size photographs of the Manager or Occupier	Upload Option	Yes
64.		Previous Registration Certificate		
65.		Other Supporting document, if any	Upload Option	No

## Do's and Don'ts

### Do's

1. Ensure that relevant document as mentioned in the Standard Operating Procedure is uploaded at appropriate place.
2. Reply at the earliest or in 7 days to the clarification raised by the Department Officer for the Application.
3. Raise grievances on Single Window, if Approval is not granted within specified timeline.
4. Download your Approval certificate/ License/Registration etc. from the portal only.

### Don'ts

1. There is no need to visit the Dept. for approval or any clarification.
2. Don't submit any physical hard copy of document to the Dept.



3. Don't upload irrelevant documents in the Application which is not mentioned in the Document Checklist

#### References:

1. The Factories Act, 1948 ( [Factories Act 1948.pdf \(labour.gov.in\)](#))
2. PSGA General Administration Department, Jammu & Kashmir.  
([showpdf.aspx \(jkgad.nic.in\)](#))

## Appendix

### Form 2&3 (Combined)

(See Rules 4 & 12)

**Application for registration and grant or renewal of Licence for the year \_\_\_\_\_ and notice of occupation specified in sections 6&7 (to be submitted in triplicate)**

1. Full name of the factory with licence number if already registered from before.
2. (a) Full postal address and situation of the Factory  
(b) Full address to which communication Relating to the factory should be sent.
3. (a) Carried on the factory during the last twelve months in case of factories already in existence).  
(b) To be carried on in the factory during the next twelve months (in case of all factories).
4. Names and value of principal products manufactured During the last 12 months.
5. (i) Maximum number of workers proposed to be employed on any one day during the year.  
(ii) Maximum number of workers employed on Any day during the last 12 months  
(iii) Number of workers to be ordinarily employed in the factory.
6. (i) Nature and total amount of power (H.P) installed of proposed to be in employed.  
(iii) Maximum amount of power (H.P.) Proposed to be used.
7. Full name and residential address of the person who shall be the manager of the factory for the purpose of the Act.
8. Full name and residential address of the occupier.
  - (i) The proprietor of the factory case of private from Proprietary concern.
  - (ii) Directors, in case of public limited liability company/firm.
  - (iii) Where a Managing Agents has been appointed the name of managing Agents and Directors thereof.
  - (iv) Shareholders in case of Private Company where no managing agent have been appointed.
9. Full name and address of the owner of the premises or building (including the precincts thereof) referred to in section 93.
10. In the case of a factory constructed or extended after the date of the commencement of the Rules.
  - (i) Reference number and date of approval of the plans for site whether for old or new building and or construction of extension of factory by the State Government/Chief Inspector.
  - (ii) Reference number and date of approval of the arrangement if any made for the disposal of trade waste and effluents and the name of the authority granting such approval.
11. Amount fee Rs. \_\_\_\_\_ (Rupees \_\_\_\_\_)
  - (i) Paid in \_\_\_\_\_ Treasury on \_\_\_\_\_ vide Challan No \_\_\_\_\_
  - (ii) Dated \_\_\_\_\_ (Enclosed)

Signature of the Occupier

Signature of the Manager.

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**Note:-**

1. This form should be completed in ink in block letters or Type.
2. If power is not used at the time of filling up this form but is introduced later, the fact should be communicated to the Chief Inspector immediately.
3. If any of the persons named against item 8 is minor, the factory should be clearly stated.
4. In the case of factory, where under the proviso to sub-section (1) and (2) of section 100, a person has been nominated as the occupier, information required in item 8 should be supplied only in respect of that person.
5. In the case of factory where a managing agent or agents have been appointed as occupiers the Indian Companies Act.1923 (VII of 1923) information required in item 8 should be supplied only in respect of that person or persons.